

GP 2619 FW  
Docket No.  
SEDN/12163

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR 1.97(b), (c), or (d)**

In re Application of: **Swart et al.**

Serial No.  
09/973,081

Filing Date  
October 10, 2001

Examiner  
Unknown

Group Art Unit  
2614

Title: **VIDEO AND DIGITAL MULTIMEDIA ACQUISITION AND DELIVERY SYSTEM AND METHOD**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

**37 CFR 1.97(d)**

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

**Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)**

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- ☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- ☐ The fee set forth in 37 CFR 1.17(p). Please charge the fee to Deposit Account No. 20-0782.

**37 CFR §1.704(d)**

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

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Dated: 11/4/05

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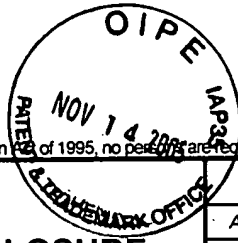
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/973,081       |
| Filing Date            | 10/10/2001       |
| First Named Inventor   | William D. Swart |
| Art Unit               | 2614             |
| Examiner Name          | Unknown          |
| Attorney Docket Number | SEDN/12163       |

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        | 1                        | US - 5,600,364                             | 02/04/1997                     | Hendricks et al.                                   |   |
|                        | 2                        | US-  |                                |  |   |
|                        | 3                        | US-  |                                |  |   |
|                        | 4                        | US-  |                                |  |   |
|                        | 5                        | US-  |                                |  |   |
|                        | 6                        | US-  |                                |  |   |
|                        | 7                        | US-  |                                |  |   |
|                        | 8                        | US-  |                                |  |   |
|                        | 9                        | US-  |                                |  |   |
|                        | 10                       | US-  |                                |  |   |
|                        | 11                       | US-  |                                |  |   |
|                        | 12                       | US-  |                                |  |   |
|                        | 13                       | US-  |                                |  |   |
|                        | 14                       | US-  |                                |  |   |
|                        | 15                       | US-  |                                |  |   |
|                        | 16                       | US-  |                                |  |   |
|                        | 17                       | US-  |                                |  |   |
|                        | 18                       | US-  |                                |  |   |
|                        | 19                       | US-  |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
|                        |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                        | A                        | Supplementary Partial EP Search<br>Report in corresponding EP 02 77<br>8473         | 10/07/2005                        | Sedna Patent<br>Services, LLC                         |  |                |
|                        | B                        | EP 0 961 490 A  | 12/01/1999                        | IBM   |  |                |
|                        | C                        | EP 0 691 787 A  | 01/10/1996                        | Sony Corporation                                      |  |                |
|                        | D                        | EP 0 992 922 A  | 04/12/2000                        | IBM   |  |                |
|                        | E                        | EP 1 049 305 A  | 11/02/2000                        | Matushita Electric<br>Industrial Co., Ltd.            |  |                |
|                        | F                        |   |                                   |   |  |                |
|                        | G                        |   |                                   |   |  |                |
|                        | H                        |   |                                   |   |  |                |
|                        | I                        |   |                                   |   |  |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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